



Bob Riley
Governor

Regina A. Dinger
Executive Director

Alabama State Board of Licensure for
Professional Engineers and Land Surveyors

100 N. Union Street, Suite 382
Montgomery, Alabama 36104-3762

Mailing Address:
P.O. Box 304451
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Fax: (334) 242-5105
www.bels.alabama.gov

February 19, 2010

Ms. Beth Chapman
Secretary of State
State House
Montgomery, AL 36130

Dear Ms. Chapman:

We are enclosing, for your files, a copies of the Seventy-Fourth Annual Report of the Board submitted to the Governor this date.

Yours very truly,

Regina A. Dinger

Regina A. Dinger
Executive Director *bk*

/bk

Enclosure

cc: Department of Archives & History
with copy of Annual Reports



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January 27, 2010

To His Excellency
Honorable Bob Riley
Governor, State of Alabama
Montgomery, Alabama

Dear Governor Riley:

We hand you herewith the Seventy-Fourth Annual Report of the Alabama State Board of Licensure for Professional Engineers and Land Surveyors as provided for under Title 34, Chapter 11, Code of Alabama 1975.

Respectfully submitted,

Don T. Arkle, Chair, 2008-2009
Alabama State Board of Licensure
For Professional Engineers and
Land Surveyors

SEVENTY-FOURTH ANNUAL REPORT TO THE GOVERNOR
BY THE
STATE BOARD OF LICENSURE FOR PROFESSIONAL ENGINEERS
AND LAND SURVEYORS

The Alabama State Board of Licensure for Professional Engineers and Land Surveyors is organized under the provisions of the Alabama Law regulating the Practice of Engineering and Land Surveying, Chapter 11, Code of Alabama 1975. Section 34-11-30 provides that the Board shall have the duty of administering the provisions of the Licensure Law, and shall consist of five professional engineers and two professional land surveyors, each of whom shall be appointed by the Governor from three persons nominated by a committee. Members of the Board serve for staggered five year terms.

Members of the Board, the dates of expiration of their terms of appointment, and their classifications during the year were:

| | | |
|------------------------|----------------|----------------------------|
| Preston L. Jackson | April 22, 2009 | Professional Engineer |
| Aloysius Reisz | April 22, 2010 | Professional Engineer |
| Don T. Arkle | April 22, 2011 | Professional Engineer |
| William C. Ulrich, Jr. | April 22, 2012 | Professional Engineer |
| C. Michael Arnold | April 23, 2013 | Professional Land Surveyor |
| W. Gerald Wilbanks | April 22, 2014 | Professional Engineer |
| Vacant | | Professional Engineer |
| Vacant | | Professional Land Surveyor |

Mr. Wilbanks was appointed on July 2, 2009 to replace Mr. Jackson. Mr. Wilbanks was sworn in to Board Position on July 10, 2009.

The following officers having been elected on July 24, 2008, served for the State fiscal year 2008-2009: Mr. Don T. Arkle, Chair, Mr. Aloysius Reisz, Vice-Chair, and Mr. William C. Ulrich, Jr., Secretary.

The following officers were elected on July 23, 2009 for the State fiscal year 2009-2010: Mr. William C. Ulrich, Chair, Mr. Don T. Arkle, Vice-Chair, and Mr. C. Michael Arnold, Secretary.

During the 2008-2009 fiscal year, the Board of Licensure for Professional Engineers and Land Surveyors held six regular meetings to review applications, consider complaints and to conduct other regular business.

Statistical information regarding the Professional Engineers, Professional Land Surveyors, Engineer-Interns, Land Surveyor-Interns, Certificates of Authorization, Examinations, and Enforcement is submitted on pages 2-6 of this report.

A complete statement of the receipts and expenditures of the Board during the fiscal year 2008-2009 is submitted on pages 7-9.

STATISTICAL INFORMATION FOR FISCAL YEAR 2008-2009

October 1, 2008 - September 30, 2009

PROFESSIONAL ENGINEERS AND LAND SURVEYORS

| | PE | PLS | TOTAL |
|--------------------------------------|-------------------|------------------|-------------------|
| <u>APPLICATIONS</u> | | | |
| Received and Processed | | | |
| By Examination | 302 | 34 | 336 |
| By Comity | 566 | 0 | 566 |
| | <u>868</u> | <u>34</u> | <u>902</u> |
| <u>LICENSURES</u> | | | |
| Certificates Issued | | | |
| By Examination | 206 | 85 | 291 |
| By Comity | 445 | 0 | 445 |
| | <u>651</u> | <u>85</u> | <u>736</u> |
| <u>RENEWALS</u> | | | |
| Renewals Processed | 13,011 | 1,218 | 14,229 |
| Reinstatements Processed | 35 | 3 | 38 |
| | <u>13,046</u> | <u>1,221</u> | <u>14,267</u> |
| IN GOOD STANDING 10/01/08 | 13,479 | 1,250 | 14,729 |
| <u>GAINS</u> | | | |
| Licensed | 651 | 85 | 736 |
| Reinstated | 35 | 3 | 38 |
| Suspension Lifted | 0 | 0 | 0 |
| | <u>686</u> | <u>88</u> | <u>774</u> |
| <u>LOSSES</u> | | | |
| Deceased | (34) | (2) | (36) |
| Lapsed (Did Not Renew) | (324) | (32) | (356) |
| Suspended | (4) | (1) | (5) |
| Revoked | (1) | (1) | (2) |
| | <u>(363)</u> | <u>(36)</u> | <u>(399)</u> |
| IN GOOD STANDING 09/30/09 | 13,802 | 1,302 | 15,104 |
| Increase (Decrease) | 323 | 52 | 375 |
| Current Status of Licensees | | | |
| Active | 12,887 | 1,214 | 14,101 |
| Inactive | 277 | 36 | 313 |
| Retired | 638 | 52 | 690 |

STATISTICAL INFORMATION FOR FISCAL YEAR 2007-2008

October 1, 2008 - September 30, 2009

ENGINEER INTERNS / LAND SURVEYOR INTERNS

| | Engineer Interns | LS Interns | Total |
|-------------------------------------|------------------|------------|--------------|
| <u>APPLICATIONS</u> | | | |
| Received and Processed | 632 | 8 | 640 |
| | | | |
| <u>CERTIFICATIONS</u> | | | |
| Certificates Issued During the Year | 332 | 9 | 341 |
| | | | |
| <u>RENEWALS</u> | | | |
| Renewals Processed | 1,269 | 19 | 1,288 |
| | | | |
| In Good Standing 10/01/08 | 1,587 | 45 | 1,632 |
| | | | |
| <u>GAINS</u> | | | |
| Certified | 332 | 9 | 341 |
| Reinstated | 0 | 0 | 0 |
| | | | |
| <u>LOSSES</u> | | | |
| Deceased | 0 | 0 | 0 |
| Licensed as PE / LS | (153) | (7) | (160) |
| Did not Renew | (241) | (17) | (258) |
| | | | |
| In Good Standing 09/30/09 | 1,525 | 30 | 1,555 |
| | | | |
| Increase (Decrease) | (62) | (15) | (77) |

STATISTICAL INFORMATION FOR FISCAL YEAR 2007-2008
October 1, 2008 - September 30, 2009

CERTIFICATES OF AUTHORIZATION

| | ENGINEERING | LAND SURVEYING | TOTAL |
|----------------------------------|--------------|----------------|--------------|
| <u>APPLICATIONS</u> | | | |
| Received and Processed | 251 | 44 | 295 |
| <u>CERTIFICATIONS</u> | | | |
| Certificates of Authority Issued | 234 | 52 | 286 |
| <u>RENEWALS</u> | | | |
| Renewals Processed | 1,811 | 377 | 2,188 |
| CERTIFICATES CURRENT | | | |
| OCTOBER 1, 2008 | 1,953 | 417 | 2,370 |
| <u>GAINS</u> | | | |
| New Certificates | 234 | 52 | 286 |
| Reinstatements | 4 | 2 | 6 |
| | <hr/> 238 | <hr/> 54 | <hr/> 292 |
| <u>LOSSES</u> | | | |
| Lapsed - Did Not Renew | (132) | (47) | (179) |
| Revoked | 0 | 0 | 0 |
| | <hr/> (132) | <hr/> (47) | <hr/> (179) |
| CERTIFICATES CURRENT | | | |
| SEPTEMBER 30, 2009 | 2,059 | 424 | 2,483 |
| Increase (Decrease) | 106 | 7 | 113 |

STATISTICAL INFORMATION FOR FISCAL YEAR 2008-2009
October 1, 2008 - September 30, 2009

EXAMINATIONS

| | Number Given | Number Passed | % Passed | Number Failed |
|---|-----------------|------------------|-------------|------------------|
| Engineering Examination I Fundamentals of Engineering 8 Hour Written | 898 | 459 | 51% | 439 |
| Engineering Examination II Principles and Practice 8 Hour Written | 413 | 206 | 50% | 207 |
| Land Surveying Exam I Fundamentals of Land Surveying | 82 | 25 | 30% | 57 |
| Land Surveying Exam II Principles and Practice 8 Hour Written | 79 | 42 | 53% | 37 |
| Alabama Land Surveying Exam III History & Law plus Public Domain 2 Hour Written | 109 | 86 | 79% | 23 |

STATISTICAL INFORMATION FOR FISCAL YEAR 2008-2009

October 1, 2008 - September 30, 2009

ENFORCEMENT

| | LICENSEES | NON-LICENSEES | TOTAL |
|------------------------------------|------------|---------------|------------|
| <u>Number of Complaints</u> | | | |
| Received 10/01/08 - 09/30/09 | 320 | 9 | 329 |
| Pending from Previous Year | <u>39</u> | <u>21</u> | <u>60</u> |
| Total | 359 | 30 | 389 |

| | | | |
|-----------------------------|----|---|----|
| Formal Hearings Conducted | 9 | 3 | 12 |
| Informal Hearings Conducted | 0 | 0 | 0 |
| Negotiated Settlements | 28 | 7 | 35 |

Complaints/Investigations Closed

No Action Required

| | | | |
|---------------------------|-------------------|-------------------|-------------------|
| No Action | 13 | 2 | 15 |
| Letter of Caution | 267 | 1 | 268 |
| Letter of Reprimand | 8 | 4 | 12 |
| Forwarded to Other Agency | 0 | 0 | 0 |
| Complaint Withdrawn | 0 | 0 | 0 |
| Formal Action | 34 | 10 | 44 |
| | <u> </u> | <u> </u> | <u> </u> |
| Total Closed | 322 | 17 | 339 |

Complaints/Investigations Pending

| | | | |
|--|-----------|-----------|-----------|
| Action by Board, Investigator and/or Attorney General as of September 30, 2009 | 37 | 15 | 52 |
|--|-----------|-----------|-----------|

*339 Cases Closed - 2 returned to active status based on appeal to Circuit Court - Total Cases Closed- 337

STATISTICAL INFORMATION FOR FISCAL YEAR 2007-2008

October 1, 2008 - September 30, 2009

Balance Sheet (Cash Basis)

September 30, 2009

Unaudited

| | <u>Special Revenue Fund 0359</u> | <u>General Asset Account</u> | <u>Total Memorandum Only</u> |
|---|--|--------------------------------------|--------------------------------------|
| <u>ASSETS</u> | | | |
| Cash | \$389,486.82 | | \$389,486.82 |
| Office Furniture and Equipment | | \$224,381.40 | \$224,381.40 |
| | \$389,486.82 | \$224,381.40 | \$613,868.22 |
| <u>FUND EQUITY</u> | | | |
| Investment in General Fixed Assets | | \$224,381.40 | \$224,381.40 |
| <u>FUND BALANCE</u> | | | |
| Revenue Deferred for October Exams | \$107,870.00 | | \$107,870.00 |
| Reservation of Appropriation Authority for Unpaid Items At Year End | \$109,056.99 | | \$109,056.99 |
| Unreserved Fund Balance | \$172,559.83 | | \$172,559.83 |
| | \$389,486.82 | \$224,381.40 | \$613,868.22 |

STATEMENT OF REVENUES & EXPENDITURES
and Changes in Fund Balance, Special Revenue Fund 0359
October 1, 2008 through September 30, 2009
Unaudited

Revenues

| | | | |
|--|-----------------|----------------|-----------------------|
| Renewal Fees | 660,975.00 | | |
| Penalties | 170,985.00 | | |
| Reinstatements | 14,490.00 | | |
| Application/Licensure Fees | 94,800.00 | | |
| Examination Fees | 225,570.00 | | |
| Interim Permits | 0.00 | | |
| Fines | 49,189.53 | | |
| Cost of Board Actions | 3,414.90 | | |
| Less Civil Penalties | (17,155.40) | | |
| Sale of Rosters | 0.00 | | |
| Sale of Labels | 1,500.00 | | |
| Duplicate Certificates | 225.00 | | |
| Sale of Surplus, Misc. | 35.00 | | |
| Returned Check Charge | 390.00 | | |
| Less Returned Checks | <u>(475.00)</u> | | |
| Total Receipts | | \$1,203,944.03 | |
| Plus FY 2008 Deferred Revenue | | 127,240.00 | |
| Less FY 2010 Exam Receipts Deferred | | (107,870.00) | |
| Total FY 2009 Recognized Revenues | | | \$1,223,314.03 |

Expenditures

| | | | |
|--|-----------------|-------------------|-----------------------|
| Personnel Costs | 445,165.89 | | |
| Employee Benefits | 158,159.01 | | |
| Travel-in-State | 15,147.33 | | |
| Travel-out-of-State | 28,146.31 | | |
| Repairs and Maintenance | 1,125.46 | | |
| Rentals and Leases | 109,664.36 | | |
| Utilities and Communications | 44,725.70 | | |
| Professional Services | 307,072.72 | | |
| Supplies, Mat, & Oper Expenses | 65,245.54 | | |
| Transportation Equip Operations | 579.65 | | |
| Transportation Equip Purchases | 0.00 | | |
| Other Equipment Purchases | <u>7,118.04</u> | | |
| Total Expenditures | | \$1,182,150.01 | |
| Identified FY 2009 Encumbrances | | <u>109,056.99</u> | |
| Total FY 2009 Expenditures & Encumbrances | | | \$1,291,207.00 |

Revenues Exceed Expenditures

| | |
|--|----------------------|
| Net Increase (Decrease) in Fund Balance During the Year | (\$67,892.97) |
| Fund Balance October 1, 2008 | \$164,292.67 |
| Plus FY 08 Encumbrances Not Used | 76,159.32 |
| Fund Balance September 30, 2009 | \$172,559.02 |

**Statement of Changes in
General Fixed Assets
October 1, 2008 through September 30, 2009**

Unaudited

| | Balance October 1, 2008 | Additions | Reductions | Balance September 30, 2009 |
|-----------------------------------|----------------------------|------------------------|------------------------|-------------------------------|
| Office Furniture and Equipment | <u>\$226,697.61</u> | <u>\$ 5,963.04</u> | <u>\$ 8,279.25</u> | <u>\$224,381.40</u> |
| Total | <u>\$226,697.61</u> | <u>\$ 5,963.04</u> | <u>\$ 8,279.25</u> | <u>\$224,381.40</u> |

I, William C. Ulrich, Secretary of the State Board of Licensure for Professional Engineers and Land Surveyors do hereby certify that to the best of my knowledge and belief, the Financial Statement is a correct statement of the finances of this Board for the period shown:



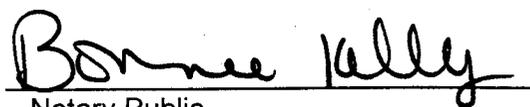
 William C. Ulrich, Jr., Secretary 2008 - 2009

ATTEST:



 Don T. Arkle, Chair 2008-2009

Sworn to and subscribed before me this
The 27th day of January, 2010



 Notary Public

